

Atty Docket No. 019693-000100US

PTO FAX NO.: 1-703-746-7239

ATTENTION: Examiner: Pierre Eddy Elisca

Group Art Unit 2161

**SUBMISSION OF INFORMATION DISCLOSURE
STATEMENT****FOR ENTRY INTO APPLICATION NO. 09/475,959
FILED DECEMBER 30, 1999****CERTIFICATION OF FACSIMILE TRANSMISSION**

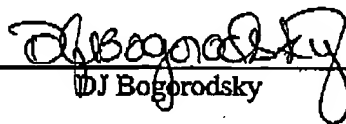
I hereby certify that the following documents in re Application of Steven Karl Schoch et al., Application No. 09/475,959, filed December 30, 1999 for SYSTEM FOR CONTROLLING THE USE OF LICENSED SOFTWARE is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal form (1 page)
2. Fee Transmittal (1 page, submitted in duplicate)
3. IDS with PTO/SB/08B (3 pages)
4. Copy of the first page of each cited reference (15 pages)

Number of pages being transmitted, including this page: 21

Dated: February 11, 2002


DJ Bogorodsky

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: 650-326-2400 Fax: 650-326-2422
PA 3200945 v1

a

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/475,959	
	Filing Date	December 30, 1999	
	First Named Inventor	Schoch, Steven Karl	
	Group Art Unit	2161	
	Examiner Name	Pierre Eddy Ellisca	
Total Number of Pages in This Submission	22	Attorney Docket Number	019693-000100US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (1 page submitted in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement with PTO/SB/08B (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile coversheet (1 page) Copies of page 1 of each cited reference (15 pages)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
FULL COPIES OF EACH CITED REFERENCE WILL BE SENT UNDER SEPARATE COVER VIA FIRST CLASS MAIL		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Steven Cahill Reg. No. 44,578
Signature	
Date	February 11, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7239 on February 11, 2002	
Typed or printed name	DJ Bogorodsky
Signature	
Date	February 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3200953 v1

a

Approved for use through 10/31/2002. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 09/473,859
 Filing Date December 30, 1999
 First Named Inventor Schoch, Steven Karl
 Examiner Name Pierre Eddy Ellice
 Group Art Unit 2161
 Attorney Docket No. 019693-000100US

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 20-1430 Deposit Account Name Townsend and Townsend and Crew LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>109</td><td>130</td><td>205</td><td>55</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reconsideration</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>115</td><td>1,840*</td><td>115</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>116</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>118</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>820</td><td>217</td><td>450</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>129</td><td>1,950</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to Institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or release)</td><td></td></tr> <tr><td>143</td><td>480</td><td>243</td><td>240</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>820</td><td>244</td><td>410</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Sheet</td><td>180</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>145</td><td>740</td><td>245</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.126(b))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>176</td><td>740</td><td>270</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>168</td><td>900</td><td>168</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	109	130	205	55	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	130	130	Non-English specification		147	2,520	147	2,520	For filing a request for reconsideration		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		115	1,840*	115	1,840*	Requesting publication of SIR after Examiner action		116	110	215	55	Extension for reply within first month		118	400	216	200	Extension for reply within second month		117	820	217	450	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		129	1,950	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to Institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or release)		143	480	243	240	Design issue fee		144	820	244	410	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Sheet	180	581	40	581	40	Recording each patent assignment per property (times number of properties)		145	740	245	370	Filing a submission after final rejection (37 CFR § 1.126(b))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		176	740	270	370	Request for Continued Examination (RCE)		168	900	168	900	Request for expedited examination of a design application	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
109	130	205	55	Surcharge - late filing fee or oath																																																																																																																																																																													
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																													
139	130	130	130	Non-English specification																																																																																																																																																																													
147	2,520	147	2,520	For filing a request for reconsideration																																																																																																																																																																													
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																													
115	1,840*	115	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																													
116	110	215	55	Extension for reply within first month																																																																																																																																																																													
118	400	216	200	Extension for reply within second month																																																																																																																																																																													
117	820	217	450	Extension for reply within third month																																																																																																																																																																													
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																													
129	1,950	228	980	Extension for reply within fifth month																																																																																																																																																																													
119	320	219	160	Notice of Appeal																																																																																																																																																																													
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																													
121	280	221	140	Request for oral hearing																																																																																																																																																																													
138	1,510	138	1,510	Petition to Institute a public use proceeding																																																																																																																																																																													
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																													
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																													
142	1,280	242	640	Utility issue fee (or release)																																																																																																																																																																													
143	480	243	240	Design issue fee																																																																																																																																																																													
144	820	244	410	Plant issue fee																																																																																																																																																																													
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																													
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																													
126	180	126	180	Submission of Information Disclosure Sheet	180																																																																																																																																																																												
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																													
145	740	245	370	Filing a submission after final rejection (37 CFR § 1.126(b))																																																																																																																																																																													
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																													
176	740	270	370	Request for Continued Examination (RCE)																																																																																																																																																																													
168	900	168	900	Request for expedited examination of a design application																																																																																																																																																																													
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td></td></tr> <tr><td>108</td><td>330</td><td>205</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>109</td><td>740</td><td>209</td><td>370</td><td>Release filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> SUBTOTAL (1) (\$)		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee		108	330	205	165	Design filing fee		107	510	207	255	Plant filing fee		109	740	209	370	Release filing fee		114	150	214	80	Provisional filing fee																																																																																																																																											
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
101	740	201	370	Utility filing fee																																																																																																																																																																													
108	330	205	165	Design filing fee																																																																																																																																																																													
107	510	207	255	Plant filing fee																																																																																																																																																																													
109	740	209	370	Release filing fee																																																																																																																																																																													
114	150	214	80	Provisional filing fee																																																																																																																																																																													
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>20**</td><td></td><td></td><td></td></tr> <tr><td>3**</td><td></td><td></td><td></td></tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	20**				3**				2. SUBTOTAL (2) (\$)																																																																																																																																																																			
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																														
20**																																																																																																																																																																																	
3**																																																																																																																																																																																	
*or number previously paid, if greater. For Release, see above		Other fee (specify) The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$180)																																																																																																																																																																															

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Steven Child	Registration No. (Attorney/Agent)	44,578	Telephone	650-328-2400
Signature	<i>Steven Child</i>	Date	February 11, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3200950 v1

a

I hereby certify that this correspondence is being sent by facsimile transmission to: Examiner - Pierre Eddy Elisca

at Fax No.: 1-703-746-7239

On February 11, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: T. Begorodsky

PATENT
Attorney Docket No.: 019693-000100US
Client Reference No.: 019693

#6
CC
2/15/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven Karl Schoch et al.

Application No.: 09/475,959

Filed: December 30, 1999

For: SYSTEM FOR CONTROLLING
THE USE OF LICENSED SOFTWARE

Examiner: Pierre Eddy Elisca

Art Unit: 2161

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the first page of each reference are attached. Full copies of each reference will be enclosed under separate cover via first class mail. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Steven Karl Schöch et al.
Application No.: 09/475,959
Page 2

PATENT

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Steven Cahill
Reg. No. 44,578

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400 Fax: 650-326-2422
SJC:djb

PA 3200940 v1